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TW SEF LLC: Disciplinary Action

For trade dates November 19, 2023 and June 14, 2024 Deutsche Bank Aktiengesellschaft violated TW SEF Rule 1005 by failing to notify the SEF of an error trade as well as subsequent offsetting and correcting trades (either by contacting TW SEF or using TW SEF's offset and correct functionality).

Summary Notice of Fine (\$1250)

Summary Notice of Fine (\$1750)

Effective Date: August 12, 2024