

1177 Avenue of the Americas New York, NY 10036

phone: 646.430.6000 fax: 646.430.6250 e-mail: help@tradeweb.com

www.tradeweb.com

TW SEF LLC: Disciplinary Action

For trade date January 29, 2024 Goldman Sachs International violated TW SEF Rule 1005 by failing to notify the SEF of an error trade as well as subsequent offsetting and correcting trades (either by contacting TW SEF or using TW SEF's offset and correct functionality).

Summary Notice of Fine (\$1250)

Effective Date: March 25, 2024